POSIX Threads Programming

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Pthreads Overview

What is a Thread?

- Technically, a thread is defined as an independent stream of instructions that can be scheduled to run as such by the operating system. But what does this mean?
- In the UNIX environment a thread:
  - Exists within a process and uses the process resources
  - Has its own independent flow of control as long as its parent process exists and the OS supports it
  - May share the process resources with other threads that act equally independently (and dependently)
  - Dies if the parent process dies - or something similar
- To the software developer, the concept of a "procedure" that runs independently from its main program may best describe a thread.

Process-Thread Relationship:

- Understanding a thread means knowing the relationship between a process and a thread. A process is created by the operating system. Processes contain information about program resources and program execution state, including:
  - Process ID, process group ID, user ID, and group ID
  - Environment
  - Working directory.
  - Program instructions
  - Registers
  - Stack
  - Heap
  - File descriptors
  - Signal actions
  - Shared libraries
  - Inter-process communication tools (such as message queues, pipes, semaphores, or shared memory).

![User Address Space Diagram]

- Threads use and exist within these process resources, yet are able to be scheduled by the operating system and run as independent entities within a process.
- A thread can possess an independent flow of control and be schedulable because it maintains its own:
  - Stack pointer
A process can have multiple threads, all of which share the resources within a process and all of which execute within the same address space. Within a multi-threaded program, there are at any time multiple points of execution.

Because threads within the same process share resources:
- Changes made by one thread to shared system resources (such as closing a file) will be seen by all other threads.
- Two pointers having the same value point to the same data.
- Reading and writing to the same memory locations is possible, and therefore requires explicit synchronization by the programmer.

### Pthreads Overview

#### What are Pthreads?

- Historically, hardware vendors have implemented their own proprietary versions of threads. These implementations differed substantially from each other making it difficult for programmers to develop portable threaded applications.
- In order to take full advantage of the capabilities provided by threads, a standardized programming interface was required. For UNIX systems, this interface has been specified by the IEEE POSIX 1003.1c standard (1995). Implementations which adhere to this standard are referred to as POSIX threads, or Pthreads. Most hardware vendors now offer Pthreads in addition to their proprietary APIs.
- Pthreads are defined as a set of C language programming types and procedure calls, implemented with a `pthread.h` header/include file and a thread library - though the this library may be part of another library, such as `libc`.
- There are several drafts of the POSIX threads standard. It is important to be aware of the draft number of a given implementation, because there are differences between drafts that can cause problems.

### Pthreads Overview

#### Why Pthreads?

- The primary motivation for using Pthreads is to realize potential program performance gains.
- When compared to the cost of creating and managing a process, a thread can be created with much less operating system overhead. Managing threads requires fewer system resources than managing processes.

For example, the following table compares timing results for the `fork()` subroutine and the `pthreads_create()` subroutine. Timings reflect 50,000 process/thread creations, were performed with the `time` utility, and units are in seconds.

<table>
<thead>
<tr>
<th>Platform</th>
<th>fork()</th>
<th>pthread_create()</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>real</td>
<td>user</td>
</tr>
<tr>
<td>IBM 332 MHz 604e</td>
<td>92.4</td>
<td>2.7</td>
</tr>
<tr>
<td>4 CPUs/node</td>
<td></td>
<td></td>
</tr>
<tr>
<td>512 MB Memory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>AIX 4.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IBM 375 MHz POWER3</td>
<td>173.6</td>
<td>13.9</td>
</tr>
<tr>
<td>16 CPUs/node</td>
<td></td>
<td></td>
</tr>
<tr>
<td>16 GB Memory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>AIX 5.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>INTEL 2.2 GHz Xeon</td>
<td>17.4</td>
<td>3.9</td>
</tr>
<tr>
<td>2 CPU/node</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 GB Memory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>RedHat Linux 7.3</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For the source file of fork vs thread.txt.
Overlapping CPU work with I/O: For example, a program may have sections where it is performing a long I/O operation. While one thread is waiting for an I/O system call to complete, CPU intensive work can be performed by other threads.

Priority/real-time scheduling: tasks which are more important can be scheduled to supersede or interrupt lower priority tasks.

Asynchronous event handling: tasks which service events of indeterminate frequency and duration can be interleaved. For example, a web server can both transfer data from previous requests and manage the arrival of new requests.

The primary motivation for considering the use of Pthreads on an SMP architecture is to achieve optimum performance. In particular, if an application is using MPI for on-node communications, there is a potential that performance could be greatly improved by using Pthreads for on-node data transfer instead.

For example: IBM's MPI provides the MP_SHARED_MEMORY environment variable to direct the use of shared memory for on-node MPI communications instead of switch communications. Without this, on-node MPI communications demonstrate serious performance degradation as the number of tasks increase.

However, even with MP_SHARED_MEMORY set to "yes", on-node MPI communications can not compete with Pthreads:

- IBM 604e shared memory MPI bandwidth: @80 MB/sec per MPI task
- IBM POWER3 NH-2 shared memory MPI bandwidth: @275 MB/sec per MPI task
- Pthreads worst case: Every data reference by a thread requires a memory read. In this case, a thread's "bandwidth" is limited by the machine's memory-to-CPU bandwidth:
  - 604e: 1.3 GB/sec
  - POWER3 NH-2: 16 GB/sec
- Pthreads best case: Data is local to a thread's cache offering much greater cache-CPU bandwidths.

Pthreads Overview

Designing Threaded Programs

In order for a program to take advantage of Pthreads, it must be able to be organized into discrete, independent tasks which can execute concurrently. For example, if routine1 and routine2 can be interchanged, interleaved and/or overlapped in real time, they are candidates for threading.

Tasks that may be suitable for threading include tasks that:
- Block for potentially long waits
- Use many CPU cycles
- Must respond to asynchronous events
- Are of lesser or greater importance than other tasks
- Are able to be performed in parallel with other tasks

Be careful if your application uses libraries or other objects that don't explicitly guarantee thread-safeness. When in doubt, assume that they are not thread-safe until proven otherwise.

Several common models for threaded programs exist:

- **Manager/worker**: a single thread, the manager assigns work to other threads, the workers. Typically, the manager handles all input and parcels out work to the other tasks. At least two forms of the manager/worker model are common: static worker pool and dynamic worker pool.
- **Pipeline**: a task is broken into a series of suboperations, each of which is handled in series, but concurrently, by a different thread. An automobile assembly line best describes this model.
- **Peer**: similar to the manager/worker model, but after the main thread creates other threads, it participates in the work.

The Pthreads API

- The Pthreads API is defined in the ANSI/IEEE POSIX 1003.1 - 1995 standard. Unlike MPI, this standard is not freely available on the Web - it must be purchased from IEEE.
- The subroutines which comprise the Pthreads API can be informally grouped into three major classes:
  1. **Thread management**: The first class of functions work directly on threads - creating, detaching, joining, etc. They include functions to set/query thread attributes (joinable, scheduling etc.)
2. **Mutexes**: The second class of functions deal with synchronization, called a "mutex", which is an abbreviation for "mutual exclusion". Mutex functions provide for creating, destroying, locking and unlocking mutexes. They are also supplemented by mutex attribute functions that set or modify attributes associated with mutexes.

3. **Condition variables**: The third class of functions address communications between threads that share a mutex. They are based upon programmer specified conditions. This class includes functions to create, destroy, wait and signal based upon specified variable values. Functions to set/query condition variable attributes are also included.

- Naming conventions: All identifiers in the threads library begin with `pthread_`

<table>
<thead>
<tr>
<th>Routine Prefix</th>
<th>Functional Group</th>
</tr>
</thead>
<tbody>
<tr>
<td>pthread_</td>
<td>Threads themselves and miscellaneous subroutines</td>
</tr>
<tr>
<td>pthread_attr_</td>
<td>Thread attributes objects</td>
</tr>
<tr>
<td>pthread_mutex_</td>
<td>Mutexes</td>
</tr>
<tr>
<td>pthread_mutexattr_</td>
<td>Mutex attributes objects.</td>
</tr>
<tr>
<td>pthread_cond_</td>
<td>Condition variables</td>
</tr>
<tr>
<td>pthread_condattr_</td>
<td>Condition attributes objects.</td>
</tr>
<tr>
<td>pthread_key_</td>
<td>Thread-specific data keys</td>
</tr>
</tbody>
</table>

- The concept of opaque objects pervades the design of the API. The basic calls work to create or modify opaque objects - the opaque objects can be modified by calls to attribute functions, which deal with opaque attributes.

- The Pthreads API contains over 60 subroutines. This tutorial will focus on a subset of these - specifically, those which are most likely to be immediately useful to the beginning Pthreads programmer.

- The `pthread.h` header file must be included in each source file using the Pthreads library. For some implementations, such as IBM's AIX, it may need to be the first include file.

- The current POSIX standard is defined only for the C language. Fortran programmers can use wrappers around C function calls. Also, the IBM Fortran compiler provides a Pthreads API. See the XLF Language Reference, located with IBM's Fortran documentation for more information.

- A number of excellent books about Pthreads are available. Several of these are listed in the References section of this tutorial.

### Compiling Threaded Programs

- Some of the more commonly used compile commands for pthreads codes are listed in the table below.

<table>
<thead>
<tr>
<th>Platform</th>
<th>Compiler Command</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IBM AIX</td>
<td>xlC_r / cc_r</td>
<td>C (ANSI / non-ANSI)</td>
</tr>
<tr>
<td></td>
<td>xlC_r</td>
<td>C++</td>
</tr>
<tr>
<td></td>
<td>xlf_r -qnosave</td>
<td>Fortran - using IBM's Pthreads API (non-portable)</td>
</tr>
<tr>
<td></td>
<td>xlf90_r -qnosave</td>
<td></td>
</tr>
<tr>
<td>INTEL LINUX</td>
<td>icc -pthread</td>
<td>C / C++</td>
</tr>
<tr>
<td>COMPAQ Tru64</td>
<td>cc -pthread</td>
<td>C</td>
</tr>
<tr>
<td></td>
<td>cxx -pthread</td>
<td>C++</td>
</tr>
<tr>
<td></td>
<td>gcc -pthread</td>
<td>GNU C</td>
</tr>
<tr>
<td></td>
<td>g++ -pthread</td>
<td>GNU C++</td>
</tr>
<tr>
<td>All Above Platforms</td>
<td>guidec -pthread</td>
<td>KAI C</td>
</tr>
<tr>
<td></td>
<td>KCC -pthread</td>
<td>KAI C++</td>
</tr>
</tbody>
</table>

### Thread Management

#### Creating and Terminating Threads

**Routines:**

- `pthread_create (thread, attr, start_routine, arg)`
- `pthread_exit (status)`
- `pthread_attr_init (attr)`
- `pthread_attr_destroy (attr)`

**Creating Threads:**

- Initially, your `main()` program comprises a single, default thread. All other threads must be explicitly created by the programmer.

- `pthread_create` creates a new thread and makes it executable. Typically, threads are first created from within `main()` inside a single process. Once created, threads are peers, and may create other threads.

- `pthread_create` arguments:
  - `thread`: An opaque, unique identifier for the new thread returned by the subroutine.
  - `attr`: An opaque attribute object that may be used to set thread attributes. You can specify a thread attributes object, or NULL for the default values.
Thread Attributes:

- By default, a thread is created with certain attributes. Some of these attributes can be changed by the programmer via the thread attribute object.
- `pthread_attr_init` and `pthread_attr_destroy` are used to initialize/destroy the thread attribute object.
- Other routines are then used to query/set specific attributes in the thread attribute object.
- Some of these attributes will be discussed later.

Terminating Threads:

- There are several ways in which a Pthread may be terminated:
  - The thread returns from its starting routine (the main routine for the initial thread).
  - The thread makes a call to the `pthread_exit` subroutine (covered below).
  - The thread is canceled by another thread via the `pthread_cancel` routine (not covered here).
  - The entire process is terminated due to a call to either the exec or exit subroutines.
- `pthread_exit` is used to explicitly exit a thread. Typically, the `pthread_exit()` routine is called after a thread has completed its work and is no longer required to exist.
- If `main()` finishes before the threads it has created, and exits with `pthread_exit()`, the other threads will continue to execute. Otherwise, they will be automatically terminated when `main()` finishes.
- The programmer may optionally specify a termination status, which is stored as a void pointer for any thread that may join the calling thread.
- Cleanup: the `pthread_exit()` routine does not close files; any files opened inside the thread will remain open after the thread is terminated.
- Recommendation: Use `pthread_exit()` to exit from all threads...especially `main()`.

Example: Pthread Creation and Termination

- This simple example code creates 5 threads with the `pthread_create()` routine. Each thread prints a "Hello World!" message, and then terminates with a call to `pthread_exit()`.

```c
#include <pthread.h>
#include <stdio.h>

#define NUM_THREADS 5

void *PrintHello(void *threadid)
{
    printf("%d: Hello World!\n", threadid);
    pthread_exit(NULL);
}

int main (int argc, char *argv[])
{
    pthread_t threads[NUM_THREADS];
    int rc, t;
    for(t=0; t < NUM_THREADS; t++){
        printf("Creating thread %d\n", t);
        rc = pthread_create(&threads[t], NULL, PrintHello, (void *)t);
        if (rc){
            printf("ERROR; return code from pthread_create() is %d\n", rc);
            exit(-1);
        }
    }
    pthread_exit(NULL);
}
```

Example Code - Pthread Creation and Termination

```c
Example Code - Pthread Creation and Termination
```

Example: Pthread Creation and Termination

- This simple example code creates 5 threads with the `pthread_create()` routine. Each thread prints a "Hello World!" message, and then terminates with a call to `pthread_exit()`.

```
#include <pthread.h>
#include <stdio.h>

#define NUM_THREADS 5

void *PrintHello(void *threadid)
{
    printf("%d: Hello World!\n", threadid);
    pthread_exit(NULL);
}

int main (int argc, char *argv[])
{
    pthread_t threads[NUM_THREADS];
    int rc, t;
    for(t=0; t < NUM_THREADS; t++){
        printf("Creating thread %d\n", t);
        rc = pthread_create(&threads[t], NULL, PrintHello, (void *)t);
        if (rc){
            printf("ERROR; return code from pthread_create() is %d\n", rc);
            exit(-1);
        }
    }
    pthread_exit(NULL);
}
```

Thread Management

Passing Arguments to Threads

- The `pthread_create()` routine permits the programmer to pass one argument to the thread start routine. For cases where multiple arguments must be passed, this limitation is easily overcome by creating a structure which contains all of the arguments, and then passing a pointer to that structure in the `pthread_create()` routine.
- All arguments must be passed by reference and cast to `(void *)`.

Question: How can you safely pass data to newly created threads, given their non-deterministic start-up and scheduling?

Answer

Example 1 - Thread Argument Passing

This code fragment demonstrates how to pass a simple integer to each thread. The calling thread uses a unique data structure for each thread, insuring that each thread's argument remains intact throughout the program.

```c
int *taskids[NUM_THREADS];
```
for(t=0;t < NUM_THREADS;t++)
{
    taskids[t] = (int *) malloc(sizeof(int));
    *taskids[t] = t;
    printf("Creating thread %d\n", t);
    rc = pthread_create(&threads[t], NULL, PrintHello,
            (void *) taskids[t]);
    ... 
}

3 Example 2 - Thread Argument Passing
This example shows how to setup/pass multiple arguments via a structure.
Each thread receives a unique instance of the structure.

struct thread_data{  
    int  thread_id;  
    int  sum;  
    char *message;  
};

struct thread_data thread_data_array[NUM_THREADS];

void *PrintHello(void *threadarg)
{
    struct thread_data *my_data;
    ... 
    my_data = (struct thread_data *) threadarg;
    taskid = my_data->thread_id;
    sum = my_data->sum;
    hello_msg = my_data->message;
    ... 
}

int main (int argc, char *argv[])
{
    ... 
    thread_data_array[t].thread_id = t;
    thread_data_array[t].sum = sum;
    thread_data_array[t].message = messages[t];
    rc = pthread_create(&threads[t], NULL, PrintHello,
            (void *) &thread_data_array[t]);
    ... 
}

3 Example 3 - Thread Argument Passing (Incorrect)
This example performs argument passing incorrectly. The loop which creates
threads modifies the contents of the address passed as an argument, possibly
before the created threads can access it.

int rc, t;
for(t=0;t < NUM_THREADS;t++)
{
    printf("Creating thread %d\n", t);
    rc = pthread_create(&threads[t], NULL, PrintHello,
            (void *) &t);
    ... 
}

Thread Management
Joining and Detaching Threads

Routines:

pthread_join  (threadid,status)

pthread_detach  (threadid,status)

pthread_attr_setdetachstate  (attr,detachstate)

pthread_attr_getdetachstate  (attr,detachstate)

Joining:
- "Joining" is one way to accomplish synchronization between threads. For example:

- The pthread_join() subroutine blocks the calling thread until the specified threadid
terminates.
- The programmer is able to obtain the target thread's termination return status if it was
specified in the target thread's call to pthread_exit().
- Two other synchronization methods, mutexes and condition variables, will be discussed later.
Joinable or Not?

- When a thread is created, one of its attributes defines whether it is joinable or detached. Only threads that are created as joinable can be joined. If a thread is created as detached, it can never be joined.
- The final draft of the POSIX standard specifies that threads should be created as joinable. However, not all implementations may follow this.
- To explicitly create a thread as joinable or detached, the attr argument in the pthread_create() routine is used. The typical 4 step process is:
  1. Declare a pthread attribute variable of the pthread_attr_t data type
  2. Initialize the attribute variable with pthread_attr_init()
  3. Set the attribute detached status with pthread_attr_setdetachstate()
  4. When done, free library resources used by the attribute with pthread_attr_destroy()

Detaching:

- The pthread_detach() routine can be used to explicitly detach a thread even though it was created as joinable.
- There is no converse routine.

Recommendations:

- If a thread requires joining, consider explicitly creating it as joinable. This provides portability as not all implementations may create threads as joinable by default.
- If you know in advance that a thread will never need to join with another thread, consider creating it in a detached state. Some system resources may be able to be freed.

Example: Pthread Joining

Example Code - Pthread Joining

This example demonstrates how to "wait" for thread completions by using the Pthread join routine. Since not all current implementations of Pthreads create threads in a joinable state, the threads in this example are explicitly created in a joinable state so that they can be joined later.

```c
#include <pthread.h>
#include <stdio.h>
#define NUM_THREADS 3

void *BusyWork(void *null)
{
    int i;
    double result=0.0;
    for (i=0; i < 1000000; i++)
    {
        result = result + (double)random();
    }
    pthread_exit((void *) 0);
}

int main (int argc, char *argv[])
{
    pthread_t thread[NUM_THREADS];
    pthread_attr_t attr;
    int rc, t, status;
    /* Initialize and set thread detached attribute */
    pthread_attr_init(&attr);
    pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
    for(t=0; t < NUM_THREADS; t++)
    {
        printf("Creating thread %d\n", t);
        rc = pthread_create(&thread[t], &attr, BusyWork, NULL);
        if (rc)
        {
            printf("ERROR; return code from pthread_create() is %d\n", rc);
            exit(-1);
        }
    }
    /* Free attribute and wait for the other threads */
    pthread_attr_destroy(&attr);
    for(t=0; t < NUM_THREADS; t++)
    {
        rc = pthread_join(thread[t], (void **)&status);
        if (rc)
        {
            printf("ERROR; return code from pthread_join() is %d\n", rc);
            exit(-1);
        }
        printf("Completed join with thread %d status= %d\n", t, status);
    }
    pthread_exit(NULL);
}
```

Thread Management

Stack Management

Routines:

- `pthread_attr_getstacksize (attr, stacksize)`
- `pthread_attr_setstacksize (attr, stacksize)`
- `pthread_attr_getstackaddr (attr, stackaddr)`
**Preventing Stack Problems:**

- The POSIX standard does not dictate the size of a thread's stack. This is implementation dependent and varies.
- Exceeding the default stack limit is often very easy to do, with the usual results: program termination and/or corrupted data.
- Safe and portable programs do not depend upon the default stack limit, but instead, explicitly allocate enough stack for each thread by using the `pthread_attr_setstacksize` routine.
- The `pthread_attr_getstackaddr` and `pthread_attr_setstackaddr` routines can be used by applications in an environment where the stack for a thread must be placed in some particular region of memory.

### Example: Stack Management

**Example Code - Stack Management**

This example demonstrates how to query and set a thread's stack size.

```c
#include <pthread.h>
#include <stdio.h>
define NTHREADS 4
define N 1000
define MEGEXTRA 1000000

void *dowork(void *threadid)
{
    double A[N][N];
    int i,j;
    size_t mystacksize;
    pthread_attr_getstacksize (&attr, &mystacksize);
    printf("Thread \t stack size = \t \%d \%d bytes
", threadid, mystacksize);
    for (i=0; i<N; i++)
        for (j=0; i<N; j++)
            A[i][j] = ((i*j)/3.452) + (N-i);
    pthread_exit(NULL);
}

int main(int argc, char *argv[])
{
    pthread_t threads[NTHREADS];
    size_t stacksize;
    int rc, t;
    pthread_attr_init(&attr);
    pthread_attr_getstacksize (&attr, &stacksize);
    printf("Default stack size = \%d\n", stacksize);
    stacksize = (sizeof(double)*N*N+MEGEXTRA);
    printf("Amount of stack needed per thread = \%d\n", stacksize);
    pthread_attr_setstacksize (&attr, stacksize);
    for(t=0;t<NTHREADS;t++)
        rc = pthread_create(&threads[t], &attr, dowork, (void *)t);
        if (rc){
            printf("ERROR: return code from pthread_create() is \%d\n", rc);
            exit(-1);
        }
    printf("Created %d threads.
", t);
    pthread_exit(NULL);
}
```

### Miscellaneous Routines

**pthread_self**

- `pthread_self` returns the unique, system assigned thread ID of the calling thread.

**pthread_equal**

- `pthread_equal` compares two thread IDs. If the two IDs are different 0 is returned, otherwise a non-zero value is returned.

- Note that for both of these routines, the thread identifier objects are opaque and can not be easily inspected. Because thread IDs are opaque objects, the C language equivalence operator `==` should not be used to compare two thread IDs against each other, or to compare a single thread ID against another value.

**pthread_once**

- `pthread_once` executes the `init_routine` exactly once in a process. The first call to this routine by any thread in the process executes the given `init_routine`, without parameters. Any subsequent call will have no effect.

- The `init_routine` routine is typically an initialization routine.

- The `once_control` parameter is a synchronization control structure that requires initialization prior to calling `pthread_once`. For example:

```c
pthread_once_t once_control = PTHREAD_ONCE_INIT;
```

**pthread_yield**

- `pthread_yield` forces the calling thread to relinquish use of its processor, and to wait in the run queue before it is scheduled again.
Mutex Variables

Overview

- Mutex is an abbreviation for "mutual exclusion". Mutex variables are one of the primary means of implementing thread synchronization and for protecting shared data when multiple writes occur.

- A mutex variable acts like a "lock" protecting access to a shared data resource. The basic concept of a mutex as used in Pthreads is that only one thread can lock (or own) a mutex variable at any given time. Thus, even if several threads try to lock a mutex only one thread will be successful. No other thread can own that mutex until the owning thread unlocks that mutex. Threads must "take turns" accessing protected data.

- Mutexes can be used to prevent "race" conditions. An example of a race condition involving a bank transaction is shown below:

<table>
<thead>
<tr>
<th>Thread 1</th>
<th>Thread 2</th>
<th>Balance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Read balance: $1000</td>
<td>Read balance: $1000</td>
<td>$1000</td>
</tr>
<tr>
<td>Deposit $200</td>
<td>Deposit $200</td>
<td>$1000</td>
</tr>
<tr>
<td>Update balance $1000+$200</td>
<td>Update balance $1000+$200</td>
<td>$1200</td>
</tr>
</tbody>
</table>

In the above example, a mutex should be used to lock the "Balance" while a thread is using this shared data resource.

- Very often the action performed by a thread owning a mutex is the updating of global variables. This is a safe way to ensure that when several threads update the same variable, the final value is the same as what it would be if only one thread performed the update. The variables being updated belong to a "critical section".

- A typical sequence in the use of a mutex is as follows:
  - Create and initialize a mutex variable
  - Several threads attempt to lock the mutex
  - Only one succeeds and that thread owns the mutex
  - The owner thread performs some set of actions
  - The owner unlocks the mutex
  - Another thread acquires the mutex and repeats the process
  - Finally the mutex is destroyed

- When several threads compete for a mutex, the losers block at that call - an unblocking call is available with "trylock" instead of the "lock" call.

- When protecting shared data, it is the programmer's responsibility to make sure every thread that needs to use a mutex does so. For example, if 4 threads are updating the same data, but only one uses a mutex, the data can still be corrupted.

Mutex Variables

Creating and Destroying Mutexes

**Routines:**

- `pthread_mutex_init (mutex, attr)`
- `pthread_mutex_destroy (mutex)`
- `pthread_mutexattr_init (attr)`
- `pthread_mutexattr_destroy (attr)`

**Usage:**

- Mutex variables must be declared with type `pthread_mutex_t`, and must be initialized before they can be used. There are two ways to initialize a mutex variable:
  1. Statically, when it is declared. For example:
     ```c
     pthread_mutex_t mymutex = PTHREAD_MUTEX_INITIALIZER;
     ```
  2. Dynamically, with the `pthread_mutex_init()` routine. This method permits setting mutex object attributes, `attr`.

  The mutex is initially unlocked.

- The `attr` object is used to establish properties for the mutex object, and must be of type `pthread_mutexattr_t` if used (may be specified as NULL to accept defaults). The Pthreads standard defines three optional mutex attributes:
  - Protocol: Specifies the protocol used to prevent priority inversions for a mutex.
  - Prioceiling: Specifies the priority ceiling of a mutex.
  - Process-shared: Specifies the process sharing of a mutex.

  Note that not all implementations may provide the three optional mutex attributes.

- The `pthread_mutexattr_init()` and `pthread_mutexattr_destroy()` routines are used to create and destroy mutex attribute objects respectively.

- `pthread_mutex_destroy()` should be used to free a mutex object which is no longer needed.
Mutex Variables

Locking and Unlocking Mutexes

Routines:
- `pthread_mutex_lock (mutex)`
- `pthread_mutex_trylock (mutex)`
- `pthread_mutex_unlock (mutex)`

Usage:
- The `pthread_mutex_lock()` routine is used by a thread to acquire a lock on the specified `mutex` variable. If the mutex is already locked by another thread, this call will block the calling thread until the mutex is unlocked.
- `pthread_mutex_trylock()` will attempt to lock a mutex. However, if the mutex is already locked, the routine will return immediately with a "busy" error code. This routine may be useful in preventing deadlock conditions, as in a priority-inversion situation.
- `pthread_mutex_unlock()` will unlock a mutex if called by the owning thread. Calling this routine is required after a thread has completed its use of protected data if other threads are to acquire the mutex for their work with the protected data. An error will be returned if:
  - The mutex was already unlocked
  - The mutex is owned by another thread

There is nothing "magical" about mutexes...in fact they are akin to a "gentlemen's agreement" between participating threads. It is up to the code writer to insure that the necessary threads all make the mutex lock and unlock calls correctly. The following scenario demonstrates a logical error:

Thread 1     Thread 2     Thread 3
Lock         Lock
A = 2        A = A+1      A = A*B    Unlock       Unlock

Question: When more than one thread is waiting for a locked mutex, which thread will be granted the lock first after it is released?

Example: Using Mutexes

Example Code - Using Mutexes

This example program illustrates the use of mutex variables in a threads program that performs a dot product. The main data is made available to all threads through a globally accessible structure. Each thread works on a different part of the data.

```c
#include <pthread.h>
#include <stdio.h>
#include <malloc.h>

typedef struct {
    double  *a;
    double  *b;
    double  sum;
    int     veclen;
} DOTDATA;

#define NUMTHRDS 4
#define VECLEN 100

DOTDATA dotstr;
pthread_t callThd[NUMTHRDS];

void *dotprod(void *arg)
{
    /* Define and use local variables for convenience */
    int i, start, end, offset, len ;
    double mysum, *x, *y;
    offset = (int)arg;
    len = dotstr.veclen;
    start = offset*len;
    end = start + len;
    x = dotstr.a;
    y = dotstr.b;

    /* Perform the dot product and assign result to the appropriate variable in the structure. */
    mysum = 0;
    for (i=start; i < end ; i++)
    {
        mysum += *x * *y;
        x = x + 1;
        y = y + 1;
    }
    printf("%f\n", mysum);
}
```
serial version

#include <pthread.h>
#include <stdlib.h>
int main (int argc, char *argv[])
{
  int i;
  double *a, *b;
  int status;
  pthread_attr_t attr;
  /* Assign storage and initialize values */
  a = (double*) malloc (NUMTHRDS*VECLEN*sizeof(double));
  b = (double*) malloc (NUMTHRDS*VECLEN*sizeof(double));
  for (i=0; i < VECLEN*NUMTHRDS; i++)
  {
    a[i]=1.0;
    b[i]=a[i];
  }
  dotstr.veclen = VECLEN;
  dotstr.a = a;
  dotstr.b = b;
  dotstr.sum=0;
  pthread_mutex_init(&mutexsum, NULL);
  /* Create threads to perform the dotproduct */
  pthread_attr_init(&attr);
  pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
  for(i=0;i < NUMTHRDS;i++)
  {
    /* Each thread works on a different set of data.
    The offset is specified by 'i'. The size of
    the data for each thread is indicated by VECLEN. */
    pthread_create( &callThd[i], &attr, dotprod, (void *)i);
  }
  pthread_attr_destroy(&attr);
  /* Wait on the other threads */
  for(i=0;i < NUMTHRDS;i++)
  {
    pthread_join( callThd[i], (void **)istatus);
  }
  /* After joining, print out the results and cleanup */
  printf("Sum = %f 
", dotstr.sum);
  free (a);
  free (b);
  pthread_mutex_destroy(&mutexsum);
  pthread_exit(NULL);
}

Condition Variables

Overview

- Condition variables provide yet another way for threads to synchronize. While mutexes implement synchronization by controlling thread access to data, condition variables allow threads to synchronize based upon the actual value of data.
- Without condition variables, the programmer would need to have threads continually polling (possibly in a critical section), to check if the condition is met. This can be very resource consuming since the thread would be continuously busy in this activity. A condition variable is a way to achieve the same goal without polling.
- A condition variable is always used in conjunction with a mutex lock.
- A representative sequence for using condition variables is shown below.

Main Thread

- Declare and initialize global data/variables which require synchronization (such as "count")
- Declare and initialize a condition variable object
- Declare and initialize an associated mutex
- Create threads A and B to do work

Thread A

- Do work up to the point where a certain condition must occur (such as "count" must reach a specified value)
- Lock associated mutex and check value of a global variable
- Call pthread_cond_wait() to perform a blocking wait for signal from Thread-B. Note that a call to pthread_cond_wait() would block until the condition is met.
- Continue

Thread B

- Do work
- Lock associated mutex
- Change the value of the global variable that Thread-A is waiting upon.
- Check value of the global Thread-A wait variable. If it fulfills the desired condition, signal Thread-A.
- Unlock mutex.
- Continue
automatically and atomically unlocks the associated mutex variable so that it can be used by Thread-B. When signalled, wake up. Mutex is automatically and atomically locked. Explicitly unlock mutex. Continue

Main Thread
Join / Continue

Condition Variables

Creating and Destroying Condition Variables

**Routines:**

- `pthread_cond_init` (condition, attr)
- `pthread_cond_destroy` (condition)
- `pthread_condattr_init` (attr)
- `pthread_condattr_destroy` (attr)

**Usage:**

- Condition variables must be declared with type `pthread_cond_t`, and must be initialized before they can be used. There are two ways to initialize a condition variable:
  1. Statically, when it is declared. For example:
     ```c
     pthread_cond_t myconvar = PTHREAD_COND_INITIALIZER;
     ```
  2. Dynamically, with the `pthread_cond_init()` routine. The ID of the created condition variable is returned to the calling thread through the `condition` parameter. This method permits setting condition variable object attributes, `attr`.

- The optional `attr` object is used to set condition variable attributes. There is only one attribute defined for condition variables: process-shared, which allows the condition variable to be seen by threads in other processes. The attribute object, if used, must be of type `pthread_condattr_t` (may be specified as NULL to accept defaults).

- Proper locking and unlocking of the associated mutex variable is essential when using these routines. For example:
  - Failing to lock the mutex before calling `pthread_cond_wait()` may cause it NOT to block.
  - Failing to unlock the mutex after calling `pthread_cond_signal()` may not allow a matching `pthread_cond_wait()` routine to complete (it will remain blocked).

**Example Code - Using Condition Variables**

This simple example code demonstrates the use of several Pthread condition variable routines. The main routine creates three threads. Two of the threads perform work and update a “count” variable. The third thread waits until the count variable reaches a specified value.

- `pthread_cond_destroy()` should be used to free a condition variable that is no longer needed.

Condition Variables

Waiting and Signaling on Condition Variables

**Routines:**

- `pthread_cond_wait` (condition, mutex)
- `pthread_cond_signal` (condition)
- `pthread_cond_broadcast` (condition)

**Usage:**

- `pthread_cond_wait()` blocks the calling thread until the specified `condition` is signalled. This routine should be called while `mutex` is locked, and it will automatically release the mutex while it waits. Should also unlock `mutex` after signal has been received.
- The `pthread_cond_signal()` routine is used to signal (or wake up) another thread which is waiting on the condition variable. It should be called after `mutex` is locked, and must unlock `mutex` in order for `pthread_cond_wait()` routine to complete.
- The `pthread_cond_broadcast()` routine should be used instead of `pthread_cond_signal()` if more than one thread is in a blocking wait state.
- It is a logical error to call `pthread_cond_signal()` before calling `pthread_cond_wait()`.

Proper locking and unlocking of the associated mutex variable is essential when using these routines. For example:

- Failing to lock the mutex before calling `pthread_cond_wait()` may cause it NOT to block.
- Failing to unlock the mutex after calling `pthread_cond_signal()` may not allow a matching `pthread_cond_wait()` routine to complete (it will remain blocked).
```c
#include <pthread.h>
#include <stdio.h>

#define NUM_THREADS 3
#define TCOUNT 10
#define COUNT_LIMIT 12

int count = 0;
int thread_ids[3] = {0, 1, 2};
pthread_mutex_t count_mutex;
pthread_cond_t count_threshold_cv;

void *inc_count(void *idp)
{
    int i, j;
    double result = 0.0;
    int *my_id = idp;
    for (i = 0; i < TCOUNT; i++) {
        pthread_mutex_lock(&count_mutex);
        count++;
        /* Check the value of count and signal waiting thread when condition is reached. Note that this occurs while mutex is locked. */
        if (count == COUNT_LIMIT) {
            pthread_cond_signal(&count_threshold_cv);
            printf("inc_count(): thread %d, count = %d, Threshold reached.\n", *my_id, count);
        }
        printf("inc_count(): thread %d, count = %d, unlocking mutex\n", *my_id, count);
        pthread_mutex_unlock(&count_mutex);
        /* Do some work so threads can alternate on mutex lock */
        for (j = 0; j < 1000; j++)
            result += (double)random();
    }
    pthread_exit(NULL);
}

void *watch_count(void *idp)
{
    int *my_id = idp;
    printf("Starting watch_count(): thread %d\n", *my_id);
    /* Lock mutex and wait for signal. Note that the pthread_cond_wait routine will automatically and atomically unlock mutex while it waits. Also, note that if COUNT_LIMIT is reached before this routine is run by the waiting thread, the loop will be skipped to prevent pthread_cond_wait from never returning. */
    pthread_mutex_lock(&count_mutex);
    while (count < COUNT_LIMIT) {
        pthread_cond_wait(&count_threshold_cv, &count_mutex);
        printf("watch_count(): thread %d, Condition signal received.\n", *my_id);
    }
    pthread_mutex_unlock(&count_mutex);
    pthread_exit(NULL);
}

int main(int argc, char **argv)
{
    int i, rc;
    pthread_t threads[3];
    pthread_attr_t attr;
    /* Initialize mutex and condition variable objects */
    pthread_mutex_init(&count_mutex, NULL);
    pthread_cond_init(&count_threshold_cv, NULL);
    /* For portability, explicitly create threads in a joinable state so that they can be joined later. */
    pthread_attr_init(&attr);
    pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
    pthread_create(&threads[0], &attr, inc_count, (void *)thread_ids[0]);
    pthread_create(&threads[1], &attr, inc_count, (void *)thread_ids[1]);
    pthread_create(&threads[2], &attr, watch_count, (void *)thread_ids[2]);
    /* Wait for all threads to complete */
    for (i = 0; i < NUM_THREADS; i++) {
        pthread_join(threads[i], NULL);
    }
    printf("Main(): Waited on %d threads. Done.\n", NUM_THREADS);
    /* Clean up and exit */
    pthread_attr_destroy(&attr);
    pthread_mutex_destroy(&count_mutex);
    pthread_cond_destroy(&count_threshold_cv);
    pthread_exit(NULL);
}
```

**LLNL Specific Information and Recommendations**

This section describes details specific to Livermore Computing's systems.

**Implementations:**

- All LC production systems include a Pthreads implementation that follows draft 10 (final) of the POSIX standard. This is the preferred implementation.
- For compatibility with earlier implementations, the IBM compilers provide a means to use the draft 7 version of Pthreads and the Compaq Tru64 compilers a means to use the draft 4 version.
- Implementations differ in the maximum number of threads that a process may create. They also differ in the default amount of thread stack space.

**Compiling:**

```c
int main (int argc, char *argv[]) {
    int i, rc;
    pthread_t threads[3];
    pthread_attr_t attr;
    /* Initialize mutex and condition variable objects */
    pthread_mutex_init(&count_mutex, NULL);
    pthread_cond_init (&count_threshold_cv, NULL);
    /* For portability, explicitly create threads in a joinable state so that they can be joined later. */
    pthread_attr_init(&attr);
    pthread_attr_setdetachstate(&attr, PTHREAD_CREATE_JOINABLE);
    pthread_create(&threads[0], &attr, inc_count, (void *)&thread_ids[0]);
    pthread_create(&threads[1], &attr, inc_count, (void *)&thread_ids[1]);
    pthread_create(&threads[2], &attr, watch_count, (void *)&thread_ids[2]);
    /* Wait for all threads to complete */
    for (i = 0; i < NUM_THREADS; i++) {
        pthread_join(threads[i], NULL);
    }
    printf("Main(): Waited on %d threads. Done.\n", NUM_THREADS);
    /* Clean up and exit */
    pthread_attr_destroy(&attr);
    pthread_mutex_destroy(&count_mutex);
    pthread_cond_destroy(&count_threshold_cv);
    pthread_exit(NULL);
}
```
LC maintains a number of compilers, and usually several different versions of each - see the LC's Supported Compilers web page.

The compiler commands described in the Compiling Threaded Programs section apply to LC systems.

Additionally, all LC IBM compilers are aliased to their thread-safe command. For example, xlc really uses xlc_r. This is only true for LC IBM systems.

Mixing MPI with Pthreads:

Programs that contain both MPI and Pthreads are common and easy to develop on all LC systems.

Design:
- Each MPI process typically creates and then manages N threads, where N makes the best use of the available CPUs/node.
- Finding the best value for N will vary with the platform and your application's characteristics.
- For ASCI White systems with two communication adapters per node, it may prove more efficient to use two (or more) MPI tasks per node.
- In general, there may be problems if multiple threads make MPI calls. The program may fail or behave unexpectedly. If MPI calls must be made from within a thread, they should be made only by one thread.

Compiling:
- Use the appropriate MPI compile command for the platform and language of choice
- Be sure to include the required flag as in the table above (-pthread or -qnosave)
- MPICH is not thread safe

An example code that uses both MPI and Pthreads is available below. The serial, threads-only, MPI-only and MPI-with-threads versions demonstrate one possible progression.

Serial
Pthreads only
MPI only
MPI with pthreads
makefile (for IBM SP)

Topics Not Covered

Several features of the Pthreads API are not covered in this tutorial. These are listed below. See the Pthread Library Routines Reference section for more information.

Thread Scheduling
- Implementations will differ on how threads are scheduled to run. In most cases, the default mechanism is adequate.
- The Pthreads API provides routines to explicitly set thread scheduling policies and priorities which may override the default mechanisms.
- The API does not require implementations to support these features.
Thread Cancellation

- `pthread_cancel`
- `pthread_cleanup_pop`
- `pthread_cleanup_push`
- `pthread_setcancelstate`
- `pthread_getcancelstate`
- `pthread_testcancel`

Thread Scheduling

- `pthread_getschedparam`
- `pthread_setschedparam`

Signals

- `pthread_sigmask`

Pthread Attribute Functions

Basic Management

- `pthread_attr_init`
- `pthread_attr_destroy`

Detachable or Joinable

- `pthread_attr_setdetachstate`
- `pthread_attr_getdetachstate`

Specifying Stack Information

- `pthread_attr_getstackaddr`
- `pthread_attr_getstacksize`
- `pthread_attr_setstackaddr`
- `pthread_attr_setstacksize`

Thread Scheduling Attributes

- `pthread_attr_getschedparam`
- `pthread_attr_setschedparam`
- `pthread_attr_getschedpolicy`
- `pthread_attr_setschedpolicy`
- `pthread_attr_setinheritsched`
- `pthread_attr_getinheritsched`
- `pthread_attr_setscope`
- `pthread_attr_getscope`

Mutex Functions

Mutex Management

- `pthread_mutex_init`
- `pthread_mutex_destroy`
- `pthread_mutex_lock`
- `pthread_mutex_unlock`
- `pthread_mutex_trylock`

Mutex Attribute Functions

Basic Management

- `pthread_mutexattr_init`
- `pthread_mutexattr_destroy`

Sharing

- `pthread_mutexattr_getpshared`
- `pthread_mutexattr_setpshared`

Protocol Attributes

- `pthread_mutexattr_getprotocol`
- `pthread_mutexattr_setprotocol`

Priority Management

- `pthread_mutexattr_setprioceiling`
- `pthread_mutexattr_getprioceiling`

Condition Variable Functions

Basic Management

- `pthread_cond_init`
- `pthread_cond_destroy`
- `pthread_cond_signal`
- `pthread_cond_broadcast`
- `pthread_cond_wait`
- `pthread_cond_timedwait`

Condition Variable Attribute Functions

Basic Management

- `pthread_condattr_init`
- `pthread_condattr_destroy`

Sharing

- `pthread_condattr_getpshared`
- `pthread_condattr_setpshared`

This completes the tutorial.

Please complete the online evaluation form - unless you are doing the exercise, in which case please complete it at the end of the exercise.

Where would you like to go now?

- Exercise
- Agenda
- Back to the top
References and More Information

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